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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number	10/598,215
Filing Date	August 21, 2006
First Named Inventor	Dilip K. Nakhasi
Art Unit	3844
Examiner Name	
Attorney Docket Number	0803-0111.03

Sheet 1 of 7

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,087,353	07-11-2000	Stewart et al.	
		US- 6,106,886	08-22-2000	van Amerongen et al.	
		US- 6,113,972	09-05-2000	Corliss et al.	
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		US- 6,139,897	10-31-2000	Goto et al.	
		US- 6,174,560 B1	01-16-2001	Miettenen et al.	
		US- 6,190,720 B1	02-20-2001	Yuan et al.	
		US- 6,228,407 B1	05-08-2001	Kepplinger et al.	
		US- 6,274,574 B1	08-14-2001	Akashe et al.	
		US- 6,277,431 B1	08-21-2001	Berry et al.	
		US- 6,326,050 B1	12-04-2001	Goto et al.	
		US- 6,376,482 B2	04-23-2002	Akashe et al.	
		US- 6,399,138 B1	06-04-2002	Cain et al.	
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		US- 6,562,395 B2	05-13-2003	Wester et al.	
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		US- 6,623,780 B1	09-23-2003	Stevens et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		WO 01/13733 A1	03-01-2001	Masui et al.		
		WO 01/32029 A2	05-10-2001	Zawistowski		
		WO 01/91587 A2	12-06-2001	Zawistowski et al.		
		WO 02/16534	02-28-2002	Takeuchi et al.	Abstract	

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		US- 2002/0001660 A1	01-03-2002	Takeuchi et al.	
		US- 2002/0012722 A1	01-31-2002	Prosise et al.	
		US- 2002/0015759 A1	02-07-2002	Prosise et al.	
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		US- 3,450,819	06-17-1969	Babayan et al.	
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		US- 3,595,673	07-27-1971	Seiden	
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		US- 6,162,483	12-19-2000	Wester	
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		US- 6,835-408 B2	12-28-2004	Takeuchi et al.	

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		Country Code <sup>3</sup> "Number" <sup>4</sup> "Kind Code" <sup>5</sup> (if known)				
		WO 98/19556	05-14-1998	Raisio Yhtymä OYJ		
		WO 98/06405 A1	09-12-2000	Raisio Tehtaat OY AB		
		WO 01/32035 A1	05-10-2001	Raisio Benecol OY		
		WO 02/055639 A1	07-18-2002	Karl-Shamns AB		
		WO 00/03609	01-27-2000	Merck Patent GMBH		

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First Named Inventor	Dilip Nakhasi
Art Unit	3744
Examiner Name	
Attorney Docket Number	0803-0111.03

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		Number-Kind Code <sup>2</sup> (if known)			
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		us- 2003/0175404 A1	09-18-2003	Nakhasi et al.	
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Sheet 5 of 7

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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AA	ST-ONGE, ROSS, PARSONS and JONES, "Medium Chain Triglycerides Increase Energy Expenditure and Decrease Adiposity in Overweight Men,"	
		Obesity Research, Vol. 11 No. 3, March 2003, pp. 395-402.	
	BB	ST-ONGE and JONES, "Phytosterols and Human Lipid Metabolism: Efficacy, Safety, and Novel Foods," Lipids, Vol. 38, no. 4, April 2003, pp. 367-375, AOCS Press.	
	CC	ST-ONGE and JONES, "Greater Rise in Fat Oxidation with Medium Chain Triglyceride Consumption Relative to Long Chain Triglyceride is Associated with Lower Initial Body	
		Weight and Greater Loss of Subcutaneous Adipose Tissue," In Press IJO Aug. 13, 2003.	
	DD	ST-ONGE, LAMARCHE, MAUGER and JONES, "Consumption of a Functional Oil Rich in Phytosterols and Medium-Chain Triglyceride Oil Improves Plasma Lipid	
		Profiles in Men," Journal of Nutrition, Vol. 133, pp. 1815-1820, 2003.	

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		Art Unit	3744		
		Examiner Name			
Sheet	6	of	7	Attorney Docket Number	0803-0111.03

## NON PATENT LITERATURE DOCUMENTS

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	EE	WESTER, "Cholesterol-lowering effect of plant sterols", XP-002216851, EUR; J. LIPID SCI, TECHNOL., 2000, pgs. 37-44.	
	FF	PCT Notification of Transmittal of the International Search Report and the Written Opinion of the International Searching Authority, or the Declaration; PCT/US2004/030663; Dated December 22, 2004.	
	GG	PCT International Search Report; PCT/US2004/030663; Dated December 22, 2004.	
	HH	PCT Written Opinion of the International Searching Authority; PCT/US2004/030663; Dated December 22, 2004.	
	II	SWERN, D., 1979, Bailey's Industrial Oil and Fat Products, Vol. 1, 4 <sup>th</sup> Edition. John Wiley & Sons, New York, pgs. 54-55, 210-211.	
	JJ	SWERN, D., 1970, Bailey's Industrial Oil and Fat Products Vol. 1, 4 <sup>th</sup> Edition, John Wiley & Sons, New York, pgs 178-180.	
	KK	DATABASE FSTA 'Online! INTERNATIONAL FOOD INFORMATION SERVICE (IFIS), FRANKFURT-MAIN, DE: 2003; ST-ONGE M P ET AL; "Consumption of a functional oil rich in phytosterols and medium-chain triglyceride oil improves plasma lipid profiles in men."	
		XP002331082 - Database accession no. 2003-00-n0737 abstract -& ST-ONGE M-P: JOURNAL OF NUTRITION, vol. 133, no. 6, 2003, pages 1815-1820, XP002331081 Correspondence (reprint) address, P.J.H. JONES, SCH. OF DIETETICS & HUMAN NUTR.	
		MCGILL UNIV., STE-ANNE-DE-BELLEUVUE, QUE. H9X 3V9, CANADA. E-MAIL JONESP(A) MACDONALD.MCGILL.CA.	

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Art Unit 3744

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	LL	DATABASE BIOSIS "Online! BIOSCIENCES INFORMATION SERVICE, PHILADELPHIA, PA, US; June 2003 (2003-06), BOURQUE CHRISTINE ET AL: "Consumption of an oil composed of medium chain triacylglycerols, phytosterols, and n-3 fatty acids improves	
		cardiovascular risk profile in overweight women." XP002331083 Database accession no. PREV200300363233 abstract	

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